

Def: A D-finite object is called hyperexponential / hypergeometric if it satisfies a deq/rec of order 1

Ex:  $e^x, x^x$  are hexp,  $2^n, n!, n^2$  are hg.

Notes:

(1) The def leaves open where the objects live. Depending on the context, a hexp object may be a "function", a "series", or a "formal object" (or even something different). Recall the concept of differential fields: If

$K = \langle(x, y) \rangle$  with  $D(c) = 0 \forall c \in C, D(x) = 1,$   
 $D(y) = \frac{5x^2 - 3x + 7}{7x^2 - 9x + 2} y,$  then  $y \in K$  is hexp.

(2) First order eqns are easy to solve:

$$p_0 y + p_1 y' = 0 \quad \text{---} \quad y = \exp\left(-\int \frac{p_0}{p_1}\right)$$

$$= \exp(\text{rat}) \cdot \prod \text{poly}_i^e \quad \begin{matrix} \uparrow & \uparrow & \uparrow & \swarrow \\ C(x) & \text{finite} & \in C[x] & \in C \end{matrix}$$

$$p_0(x)y(x) + p_1(x)y(x+1) = 0 \dots \quad y(x) = y(0) \prod_{k=0}^{x-1} \left( -\frac{p_0(k)}{p_1(k)} \right)$$

$$= e^{\sum_{k=0}^{x-1} \ln \left( -\frac{p_0(k)}{p_1(k)} \right)}$$

(3) The product of hexp/hg objects is hexp/hg, but the sum in general is not. However: If  $y_1, y_2$  are hexp/hg then  $y_1 + y_2$  is hexp/hg  $\Leftrightarrow \frac{y_1}{y_2} = \text{rat}$

(Proof: Ex-)

We say that  $y_1, y_2$  are similar if  $y_1/y_2 = \text{rat}$ .

Note: For any  $p_0, \dots, p_r \in \mathbb{C}[x]$ , the hexp term  $y$  is similar to  $p_0 y + \dots + p_r y^{(r)}$ , likewise for the shift case.

Goal: Given a degree, find all its hexp/hg solutions.

Differential case: Strategy: find finitely many candidates for the part  $\exp(\text{rat})$  and for each of them, find the corresponding parts  $\prod \text{poly}^e$  (if there are any)

Observes:

(1) If  $\exp(\text{rat})$  appears in a  $\text{hexp}$  sol and  $\xi$  is a pole of  $\text{rat}$ , then the expansion of  $\exp(\text{rat})$  at  $\xi$  has the form

$$\exp\left(\frac{c_k}{(x-\xi)^k} + \dots + \frac{c_1}{(x-\xi)}\right) \cdot (x-\xi)^\alpha (1 + \dots)$$

where  $c_1, \dots, c_k \in \mathbb{C}$  are such that

$$\text{rat} - \sum_{i=1}^k \frac{c_i}{(x-\xi)^i} \text{ has no pole at } \xi.$$

We will see this part of  $\text{rat}$  in the exponential parts of the series sols at  $\xi$ . Since every  $\text{rat}$  fun admits a partial fraction decomposition

$$\underbrace{\sum_{i=1}^k \frac{c_i}{(x-\xi)^i}}_{\text{polar part at } \xi} + \underbrace{\sum_{j=1}^n \frac{u_j}{(x-\xi)^j}}_{\text{polar part at } \xi} + \dots + \underbrace{\sum_{\ell=1}^m \frac{v_\ell}{(x-\phi)^\ell}}_{\text{polar part at } \phi} + \underbrace{\text{poly}(x)}_{\text{"polar part at } \infty \text{"}}$$

we can find all possible parts  $\exp(\text{rat})$  by going through all combinations of the "local" exponential parts at the singularities. (including  $\infty$ ).

Ex:  $\xi_0 = 0$  :  $\exp(\frac{1}{x})$ ,  $\exp(\frac{1}{x^2} - \frac{1}{x})$

$\xi_1 = 1$  :  $\exp(\frac{1}{(1-x)^2})$ , 1

$\xi_2 = \infty$  :  $\exp(x)$

$\Rightarrow$  The only possible choices are

$\exp(\frac{1}{x} + \frac{1}{(1-x)^2} + x)$ ,  $\exp(\frac{1}{x} + x)$

$\exp(\frac{1}{x^2} - \frac{1}{x} + \frac{1}{(1-x)^2} + x)$ ,  $\exp(\frac{1}{x^2} - \frac{1}{x} + \cancel{\frac{1}{(1-x)^2}} x)$ .

Note that there are always only finitely many combinations. (The number can be huge though).

(2) For a given candidate  $\exp(\text{rat})$ , set  $f = \exp(\text{rat})g$  for a new unknown function  $g$  into the deq and divide by  $\exp(\text{rat})$  to obtain a deq for  $g$  with coeffs in  $\mathbb{C}(x)$ .



Ex:  $\square f''(x) + \square f'(x) + \square f(x) = 0$

$f(x) = e^{x^2} g(x)$

$f'(x) = 2x e^{x^2} g(x) + e^{x^2} g'(x)$

$f''(x) = \square e^{x^2} g(x) + \square e^{x^2} g'(x) + \square e^{x^2} g''(x)$

$\rightarrow \square (\square g(x) + \square g'(x) + \square g''(x))$   
 $+ \square (\square g(x) + \square g'(x))$   
 $+ \square (g(x)) = 0.$

(3) It remains to find sols  $g = \prod \text{poly}^c$ .

Note that the exponents need not be integers. But if they are not and if  $\xi$  is a root of the corresponding poly, then we will have a series solution  $(x - \xi)^c (1 + \dots)$ , so it suffices to find for each singularity  $\xi \in \mathbb{C}$  all the possible starting exponents.

If  $\xi_1, \dots, \xi_r \in \mathbb{C}$  are the singularities and  $\alpha_1, \dots, \alpha_r \in \mathbb{C}$  are any representatives of the  $\mathbb{Z}$ -classes of the right exponents  $c$ , then  $g = (x - \xi_1)^{\alpha_1} \dots (x - \xi_r)^{\alpha_r} \text{rat}$  for some rational function  $\text{rat} \in \mathbb{C}(x)$ .

We can try all combinations (there are again "only" finitely many), and for each combination construct a deq for the rat and solve it.

In summary, we have the following alg:

Input: A deq  
Output: A set of lexp sols so that any other lexp sol is a  $\mathbb{C}$ -linear-combination of them.

- (1) Find the local exponential parts at all singularities  $\xi \in \mathbb{C} \cup \{\infty\}$
- (2) for each exp(rat) obtained as a product of ~~the~~ <sup>some</sup> exp parts at all singularities, do:
- (3) Set  $f = \text{exp(rat)} \cdot g$  and construct a deq for  $g$ .
- (4) find the  $\mathbb{Z}$ -classes of the exponents of the series solutions  $(x - \xi)^\alpha (1 + \dots)$  at all singularities  $\xi$  of the deq for  $g$ . Choose a representative of each class.

(5) For each combination  $(\alpha_1, \dots, \alpha_k)$ , set  $g = \underbrace{(x-\xi_1)^{\alpha_1} \dots (x-\xi_k)^{\alpha_k}}_{=: Q} \cdot h$  and construct a deq for  $h$

(6) find a bases of the sol space in  $C(x)$  of the deq for  $h$ . For each bases element  $b_i$ , return  $\text{exp}(rat)Qb_i$

shift case: The general idea is similar.

Recall that every lg term can be written

$c^x \prod_i \Gamma(x - \xi_i)^{e_i}$ . There may be some

cancellation among the  $\Gamma$ -terms. By pulling out a ratfun, we can bring the term

to the form  $\text{rat}(x) c^x \prod_i \Gamma(x - \xi_i)^{e_i}$  with  $\xi_1 - \xi_j \notin \mathbb{Z}$

$\forall i \neq j$ . (eg  $\Gamma(x)\Gamma(x+1) = x\Gamma(x)^2$ ). Suppose a

given rec has such a sol, and let  $\xi$

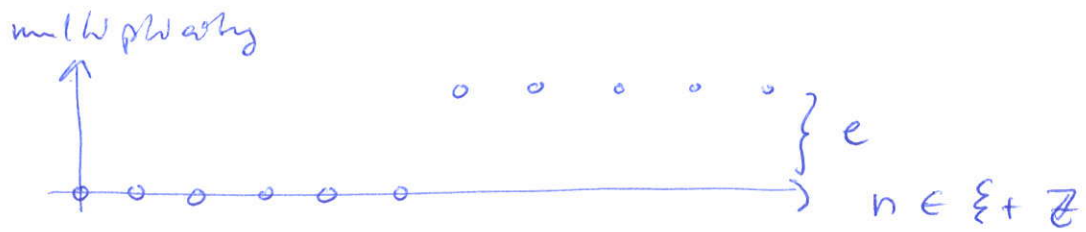
be one of the  $\xi_i$ .

Since  $\Gamma(x - \xi)$  satisfies the rec  $f(x+1) - (x - \xi)f(x) = 0$ , any sol in  $C^{\xi + \mathbb{Z}}$  must be zero for all  $x > \xi$ .

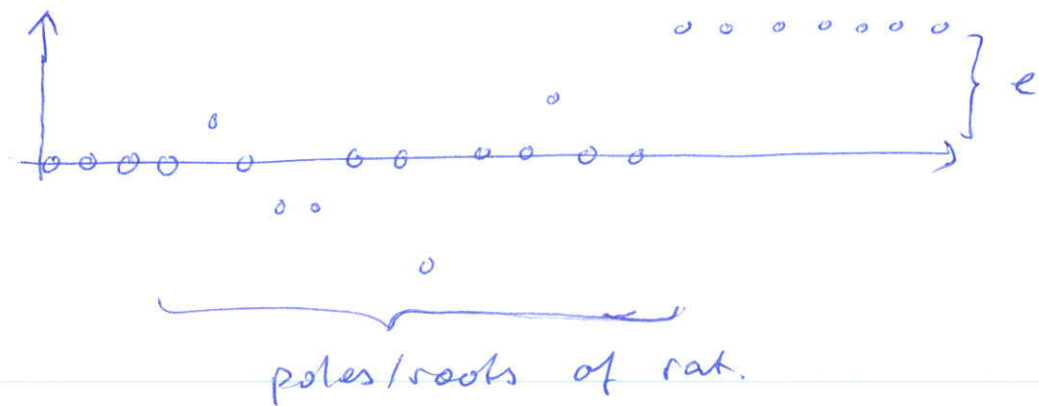
Similarly,  $1/\Gamma(x - \xi)$  has the rec  $(x - \xi)f(x+1) - f(x) = 0$ ,

of which any sol in  $C^{\xi + \mathbb{Z}}$  must be zero for all  $x \leq \xi$ .

More generally,  $\Gamma(x-\xi)^e$  has the rec  $f(x+1) - (x-\xi)^e f(x) = 0$ , and the deformed rec  $f(x+1) - (x+q-\xi) f(x) = 0$  will have a sol in  $(\mathbb{C}(q))^{\xi+\mathbb{Z}}$  with a row/drop of the multiplicity of  $q$  in the sequence terms



The deformed rec of  $\text{rat}(x) e^x \prod (x-\xi_i)^{p_i}$  will have a sol in  $(\mathbb{C}(q))^{\xi+\mathbb{Z}}$  with a similar pattern, the only possible difference being caused by poles/roots of rat:



Observations:

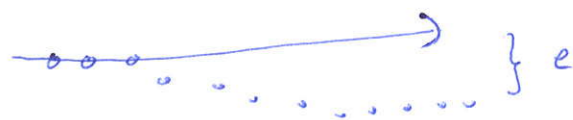
- (1) For all  $\xi_i$  that may appear in a lg sol,  $[\xi_i]$  must be a singularity of the rec (otherwise  $e=0$ ). There are



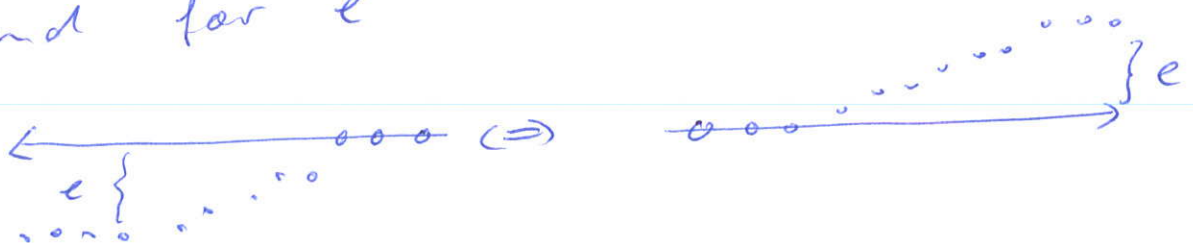
only finitely many choices.

(2) Each class  $[\xi_i]$  contains a  $\xi_{min}$  and a  $\xi_{max}$  such that the multiplicity of  $q$  cannot drop before  $\xi_{min}$  or after  $\xi_{max}$ . We can extract these from  $P_0, P_1$ .

(3) By specifying intervals before  $\xi_{min}$  and computing sols in  $(Cq)^{\xi+\mathbb{Z}}$  of the deformed rec beyond  $\xi_{max}$ , we can get a lower bound for  $e$



(4) By specifying intervals behind  $\xi_{max}$  and computing sols in  $(Cq)^{\xi+\mathbb{Z}}$  of the deformed rec before  $\xi_{min}$  (applying the rec backwards), we can get an upper bound for  $e$



(5) Altogether, we find finitely many candidates  $\xi_i$ , and for each of them, finitely many candidates for  $e_i$ .

For each combination  $(e_1, \dots, e_k)$   
 we can set  $f(x) = \prod_i (x - \xi_i)^{e_i} - g(x)$   
 and construct a new rec for  $g(x)$ .

It remains to find sols of the  
 form  $c^x \text{rat}(x)$  for each of these recs.

(6) What are possible choices for  $c$ ?

Note that for  $\text{rat}(x) = ax^m + \text{l.o.t.}$  we  
 have  $\text{rat}(x+i) = ax^m + \text{l.o.t.}$  for all  $i \in \mathbb{N}$   
 (the shift only affects the l.o.t.).

Therefore, if  $d = \max_{i=0}^r \deg p_i$ , then

$$[x^{d+m}] \sum_{i=0}^r p_i \text{rat}(x+i) c^{x+i}$$

$$= a \left( \sum_{i=0}^r [x^d] p_i c^i \right) c^x = 0 \Leftrightarrow c \text{ is a}$$

root of the poly  $\sum_{i=0}^r ([x^d] p_i) y^i \in \mathbb{C}[y] - \{0\}$ .

This leaves finitely many candidates.

Setting  $g = c^x h$  reduces the problem

to finding rational sols.